

# TORELLI THEOREM FOR THE DELIGNE–HITCHIN MODULI SPACE

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ABSTRACT. Fix integers  $g \geq 3$  and  $r \geq 2$ , with  $r \geq 3$  if  $g = 3$ . Given a compact connected Riemann surface  $X$  of genus  $g$ , let  $\mathcal{M}_{\text{DH}}(X)$  denote the corresponding  $\text{SL}(r, \mathbb{C})$  Deligne–Hitchin moduli space. We prove that the complex analytic space  $\mathcal{M}_{\text{DH}}(X)$  determines (up to isomorphism) the unordered pair  $\{X, \bar{X}\}$ , where  $\bar{X}$  is the Riemann surface defined by the opposite almost complex structure on  $X$ .

## 1. INTRODUCTION

Let  $X$  be a compact connected Riemann surface of genus  $g$ , with  $g \geq 2$ . We denote by  $X_{\mathbb{R}}$  the  $C^\infty$  real surface underlying  $X$ . Let  $\bar{X}$  be the Riemann surface defined by the almost complex structure  $-J_X$  on  $X_{\mathbb{R}}$ ; here  $J_X$  is the almost complex structure of  $X$ .

Fix an integer  $r \geq 2$ . The main object of this paper is the  $\text{SL}(r, \mathbb{C})$  Deligne–Hitchin moduli space

$$\mathcal{M}_{\text{DH}}(X)$$

associated to  $X$ . This moduli space  $\mathcal{M}_{\text{DH}}(X)$  is a complex analytic variety of complex dimension  $1 + 2(r^2 - 1)(g - 1)$ , which comes with a natural holomorphic map

$$\mathcal{M}_{\text{DH}}(X) \longrightarrow \mathbb{CP}^1 = \mathbb{C} \cup \{\infty\}.$$

We briefly describe  $\mathcal{M}_{\text{DH}}(X)$  here; for the target group  $\text{GL}(r, \mathbb{C})$  instead of  $\text{SL}(r, \mathbb{C})$ , its construction is carried out in [Si1, page 7].

- The fiber of  $\mathcal{M}_{\text{DH}}(X)$  over  $\lambda = 0 \in \mathbb{C} \subset \mathbb{CP}^1$  is the moduli space  $\mathcal{M}_{\text{Higgs}}(X)$  of semistable  $\text{SL}(r, \mathbb{C})$  Higgs bundles  $(E, \theta)$  over  $X$  (see section 2 for details).
- The fiber of  $\mathcal{M}_{\text{DH}}(X)$  over any  $\lambda \in \mathbb{C}^* \subset \mathbb{CP}^1$  is canonically biholomorphic to the moduli space  $\mathcal{M}_{\text{conn}}(X)$  of holomorphic  $\text{SL}(r, \mathbb{C})$  connections  $(E, \nabla)$  over  $X$ . In fact the restriction of  $\mathcal{M}_{\text{DH}}(X)$  to  $\mathbb{C} \subset \mathbb{CP}^1$  is the moduli space

$$\mathcal{M}_{\text{Hod}}(X) \longrightarrow \mathbb{C}$$

of  $\lambda$ -connections over  $X$  for the group  $\text{SL}(r, \mathbb{C})$  (see section 3 for details).

- The fiber of  $\mathcal{M}_{\text{DH}}(X)$  over  $\lambda = \infty \in \mathbb{CP}^1$  is the moduli space  $\mathcal{M}_{\text{Higgs}}(\bar{X})$  of Higgs bundles over  $\bar{X}$ . Indeed, the complex analytic space  $\mathcal{M}_{\text{DH}}(X)$  is constructed by glueing  $\mathcal{M}_{\text{Hod}}(X)$  to the analogous moduli space

$$\mathcal{M}_{\text{Hod}}(\bar{X}) \longrightarrow \mathbb{C}$$

of  $\lambda$ -connections over  $\bar{X}$ . One identifies the fiber of  $\mathcal{M}_{\text{Hod}}(X)$  over  $\lambda \in \mathbb{C}^*$  with the fiber of  $\mathcal{M}_{\text{Hod}}(\bar{X})$  over  $1/\lambda \in \mathbb{C}^*$ , using that holomorphic connections over  $X$  and over  $\bar{X}$  both correspond to representations of  $\pi_1(X_{\mathbb{R}})$  (see section 4 for details).

This construction of  $\mathcal{M}_{\text{DH}}(X)$  is due to Deligne [De]. In [Hi2], Hitchin constructed the twistor space for the hyper-Kähler structure of the moduli space  $\mathcal{M}_{\text{Higgs}}(X)$ ; the complex analytic space  $\mathcal{M}_{\text{DH}}(X)$  is identified with this twistor space (see [Si1, page 8]).

We note that while both  $\mathcal{M}_{\text{Hod}}(X)$  and  $\mathcal{M}_{\text{Hod}}(\overline{X})$  are complex algebraic varieties, the moduli space  $\mathcal{M}_{\text{DH}}(X)$  does not have any natural algebraic structure.

If we replace  $X$  by  $\overline{X}$ , then the isomorphism class of the Deligne–Hitchin moduli space clearly remains unchanged. In fact, there is a canonical holomorphic isomorphism of  $\mathcal{M}_{\text{DH}}(X)$  with  $\mathcal{M}_{\text{DH}}(\overline{X})$  over the automorphism of  $\mathbb{CP}^1$  defined by  $\lambda \mapsto 1/\lambda$ .

We prove the following theorem (see Theorem 4.1):

**Theorem 1.1.** *Assume that  $g \geq 3$ , and if  $g = 3$ , then assume that  $r \geq 3$ . The isomorphism class of the complex analytic space  $\mathcal{M}_{\text{DH}}(X)$  determines uniquely the isomorphism class of the unordered pair of Riemann surfaces  $\{X, \overline{X}\}$ .*

In other words, if  $\mathcal{M}_{\text{DH}}(X)$  is biholomorphic to the Deligne–Hitchin moduli space  $\mathcal{M}_{\text{DH}}(Y)$  for another compact connected Riemann surface  $Y$ , then  $Y \cong X$  or  $Y \cong \overline{X}$ .

This paper is organized as follows. Section 2 deals with Higgs bundles; we also obtain a Torelli theorem for them (see Corollary 2.5). Building on that, section 3 deals with  $\lambda$ -connections, and also contains a Torelli theorem for them (see Corollary 3.5). Finally, section 4 deals with the Deligne–Hitchin moduli space; here we prove our main result.

## 2. HIGGS BUNDLES

Let  $X$  be a compact connected Riemann surface of genus  $g$ , with  $g \geq 3$ . Fix an integer  $r \geq 2$ . If  $g = 3$ , then we assume that  $r \geq 3$ . Let

$$\mathcal{M}_{r, \mathcal{O}_X}$$

denote the moduli space of semistable  $\text{SL}(r, \mathbb{C})$ -bundles on  $X$ . So  $\mathcal{M}_{r, \mathcal{O}_X}$  parameterizes all  $S$ -equivalence classes of semistable vector bundles  $E$  over  $X$  of rank  $r$  together with an isomorphism  $\bigwedge^r E \cong \mathcal{O}_X$ .  $\mathcal{M}_{r, \mathcal{O}_X}$  is known to be an irreducible normal complex projective variety of dimension  $(r^2 - 1)(g - 1)$ . Let

$$\mathcal{M}_{r, \mathcal{O}_X}^s \subset \mathcal{M}_{r, \mathcal{O}_X}$$

be the open subvariety parameterizing stable  $\text{SL}(r, \mathbb{C})$  bundles on  $X$ . This open subvariety coincides with the smooth locus of  $\mathcal{M}_{r, \mathcal{O}_X}$  according to [NR1, page 20, Theorem 1].

**Lemma 2.1.** *The holomorphic cotangent bundle*

$$T^* \mathcal{M}_{r, \mathcal{O}_X}^s \longrightarrow \mathcal{M}_{r, \mathcal{O}_X}^s$$

*does not admit any nonzero holomorphic section.*

*Proof.* Fix a point  $x_0 \in X$ , and consider the Hecke correspondence

$$\mathcal{M}_{r, \mathcal{O}_X}^s \xleftarrow{q} \mathcal{P} \xrightarrow{p} \mathcal{U} \subseteq \mathcal{M}_{r, \mathcal{O}_X(x_0)}$$

defined as follows:

- $\mathcal{M}_{r, \mathcal{O}_X(x_0)}$  denotes the moduli space of stable vector bundles  $F$  over  $X$  of rank  $r$  together with an isomorphism  $\bigwedge^r F \cong \mathcal{O}_X(x_0)$ .
- $\mathcal{U} \subseteq \mathcal{M}_{r, \mathcal{O}_X(x_0)}$  denotes the locus of all  $F$  for which every subbundle  $F' \subset F$  with  $0 < \text{rank}(F') < r$  has negative degree; such vector bundles  $F$  are called  $(0, 1)$ -stable (see [NR2, page 306, Definition 5.1], [BBGN, page 563]).
- $p : \mathcal{P} \longrightarrow \mathcal{U}$  is the  $\mathbb{P}^{r-1}$ -bundle whose fiber over any vector bundle  $F \in \mathcal{U}$  parameterizes all hyperplanes  $H$  in the fiber  $F_{x_0}$ .
- $q : \mathcal{P} \longrightarrow \mathcal{M}_{r, \mathcal{O}_X}^s$  sends any vector bundle  $F \in \mathcal{U}$  and hyperplane  $H \subseteq F_{x_0}$  to the vector bundle  $E$  given by the short exact sequence

$$0 \longrightarrow E \longrightarrow F \longrightarrow F_{x_0}/H \longrightarrow 0$$

of coherent sheaves on  $X$ ; here the quotient sheaf  $F_{x_0}/H$  is supported at  $x_0$ .

As  $\mathcal{M}_{r, \mathcal{O}_X(x_0)}$  is a smooth unirational projective variety (see [Se, page 53]), it does not admit any nonzero holomorphic 1-form. The subset  $\mathcal{U} \subseteq \mathcal{M}_{r, \mathcal{O}_X(x_0)}$  is open due to [BBGN, page 563, Lemma 2], and the conditions on  $r$  and  $g$  ensure that the codimension of the complement  $\mathcal{M}_{r, \mathcal{O}_X(x_0)} \setminus \mathcal{U}$  is at least two. Hence also

$$H^0(\mathcal{U}, T^*\mathcal{U}) = 0$$

due to Hartog's theorem. Since  $H^0(\mathbb{P}^{r-1}, T^*\mathbb{P}^{r-1}) = 0$ , any relative holomorphic 1-form on the  $\mathbb{P}^{r-1}$ -bundle  $p : \mathcal{P} \longrightarrow \mathcal{U}$  vanishes identically. Thus we conclude

$$H^0(\mathcal{P}, T^*\mathcal{P}) = 0.$$

The same follows for  $\mathcal{M}_{r, \mathcal{O}_X}^s$ , because the algebraic map  $q : \mathcal{P} \longrightarrow \mathcal{M}_{r, \mathcal{O}_X}^s$  is dominant.  $\square$

We denote by  $K_X$  the canonical line bundle on  $X$ . Let

$$\mathcal{M}_{\text{Higgs}}(X)$$

denote the moduli space of semistable  $\text{SL}(r, \mathbb{C})$  Higgs bundles over  $X$ . So  $\mathcal{M}_{\text{Higgs}}(X)$  parameterizes all  $S$ -equivalence classes of semistable pairs  $(E, \theta)$  consisting of a vector bundle  $E$  over  $X$  of rank  $r$  together with an isomorphism  $\bigwedge^r E \cong \mathcal{O}_X$ , and a Higgs field  $\theta : E \longrightarrow E \otimes K_X$  with  $\text{trace}(\theta) = 0$ .  $\mathcal{M}_{\text{Higgs}}(X)$  is an irreducible normal complex algebraic variety of dimension  $2(r^2 - 1)(g - 1)$  according to [Si3, Theorem 11.1].

There is a natural embedding

$$(2.1) \quad \iota : \mathcal{M}_{r, \mathcal{O}_X} \hookrightarrow \mathcal{M}_{\text{Higgs}}(X)$$

defined by  $E \longmapsto (E, 0)$ . We denote by

$$\mathcal{M}_{\text{Higgs}}^s(X) \subset \mathcal{M}_{\text{Higgs}}(X)$$

the open locus of Higgs bundles  $(E, \theta)$  whose underlying vector bundle  $E$  is stable. Let

$$(2.2) \quad \text{pr}_E : \mathcal{M}_{\text{Higgs}}^s(X) \longrightarrow \mathcal{M}_{r, \mathcal{O}_X}^s$$

be the forgetful map defined by  $(E, \theta) \longmapsto E$ . One has a canonical isomorphism

$$(2.3) \quad \mathcal{M}_{\text{Higgs}}^s(X) \xrightarrow{\sim} T^*\mathcal{M}_{r, \mathcal{O}_X}^s$$

of varieties over  $\mathcal{M}_{r, \mathcal{O}_X}^s$ , because holomorphic cotangent vectors to a point  $E \in \mathcal{M}_{r, \mathcal{O}_X}^s$  correspond, via deformation theory and Serre duality, to Higgs fields  $\theta : E \longrightarrow E \otimes K_X$  with  $\text{trace}(\theta) = 0$ . In particular,  $\mathcal{M}_{\text{Higgs}}^s(X)$  is contained in the smooth locus

$$\mathcal{M}_{\text{Higgs}}(X)^{\text{sm}} \subset \mathcal{M}_{\text{Higgs}}(X).$$

We recall that the *Hitchin map*

$$(2.4) \quad H : \mathcal{M}_{\text{Higgs}}(X) \longrightarrow \bigoplus_{i=2}^r H^0(X, K_X^{\otimes i})$$

is defined by sending each Higgs bundle  $(E, \theta)$  to the characteristic polynomial of  $\theta$ .

The multiplicative group  $\mathbb{C}^*$  acts on the moduli space  $\mathcal{M}_{\text{Higgs}}(X)$  as follows:

$$(2.5) \quad t \cdot (E, \theta) = (E, t\theta).$$

On the other hand,  $\mathbb{C}^*$  acts on the Hitchin space  $\bigoplus_{i=2}^r H^0(X, K_X^{\otimes i})$  as

$$t \cdot (v_2, \dots, v_i, \dots, v_r) = (t^2 v_2, \dots, t^i v_i, \dots, t^r v_r),$$

where  $v_i \in H^0(X, K_X^{\otimes i})$  and  $i \in \{2, \dots, r\}$ . The Hitchin map  $H$  in (2.4) intertwines these two actions of  $\mathbb{C}^*$ . Note that no nonzero holomorphic function on the Hitchin space is homogenous of degree 1 for this action. The reason is that we are considering  $\text{SL}(r, \mathbb{C})$  Higgs bundles, and hence only Higgs fields  $\theta : E \longrightarrow E \otimes K_X$  with  $\text{trace}(\theta) = 0$ .

**Lemma 2.2.** *The holomorphic tangent bundle*

$$T\mathcal{M}_{r, \mathcal{O}_X}^s \longrightarrow \mathcal{M}_{r, \mathcal{O}_X}^s$$

*does not admit any nonzero holomorphic section.*

*Proof.* The proof of [Hi1, page 110, Theorem 6.2] carries over to this situation as follows. A holomorphic section  $s$  of  $T\mathcal{M}_{r, \mathcal{O}_X}^s$  provides (by contraction) a holomorphic function

$$(2.6) \quad f : T^*\mathcal{M}_{r, \mathcal{O}_X}^s \longrightarrow \mathbb{C}$$

on the total space of the cotangent bundle  $T^*\mathcal{M}_{r, \mathcal{O}_X}^s$ , which is linear on the fibers. Under the above isomorphism (2.3), it corresponds to a function on  $\mathcal{M}_{\text{Higgs}}^s(X)$ . It can be shown that the complement of  $\mathcal{M}_{\text{Higgs}}^s(X)$  has codimension at least two in  $\mathcal{M}_{\text{Higgs}}(X)$ . Since the latter is normal, the function  $f$  in (2.6) thus extends to a holomorphic function

$$\tilde{f} : \mathcal{M}_{\text{Higgs}}(X) \longrightarrow \mathbb{C},$$

for example by [Sc, page 90, Korollar 2]. Because  $f$  is linear on the fibers,  $\tilde{f}$  is homogenous of degree 1 for the action (2.5) of  $\mathbb{C}^*$ .

On the moduli space  $\mathcal{M}_{\text{Higgs}}(X)$ , the Hitchin map (2.4) is proper by [Ni, Theorem 6.1], and its fibers are connected. Therefore, the function  $\tilde{f}$  is constant on the fibers of the Hitchin map. Hence  $\tilde{f}$  comes from a holomorphic function on the Hitchin space, which is still homogenous of degree 1. Since the only such function is identically zero, this implies  $\tilde{f} = 0$ , and consequently also  $f = 0$  and  $s = 0$ .  $\square$

**Corollary 2.3.** *The restriction of the holomorphic tangent bundle*

$$T\mathcal{M}_{\text{Higgs}}(X)^{\text{sm}} \longrightarrow \mathcal{M}_{\text{Higgs}}(X)^{\text{sm}}$$

*to  $\iota(\mathcal{M}_{r,\mathcal{O}_X}^s) \subset \mathcal{M}_{\text{Higgs}}(X)^{\text{sm}}$  does not admit any nonzero holomorphic section.*

*Proof.* Using Lemma 2.2, it suffices to show that the normal bundle of the embedding

$$\iota : \mathcal{M}_{r,\mathcal{O}_X}^s \hookrightarrow \mathcal{M}_{\text{Higgs}}(X)^{\text{sm}}$$

has no nonzero holomorphic sections. The isomorphism (2.3) allows us to identify this normal bundle with  $T^*\mathcal{M}_{r,\mathcal{O}_X}^s$ . Now the claim follows from Lemma 2.1.  $\square$

The next step is to show that the above property uniquely characterizes the subvariety  $\iota(\mathcal{M}_{r,\mathcal{O}_X}^s) \subset \mathcal{M}_{\text{Higgs}}(X)$ . This will follow from the following fact.

**Proposition 2.4.** *Let  $Z$  be an irreducible component of the fixed point locus*

$$(2.7) \quad \mathcal{M}_{\text{Higgs}}(X)^{\mathbb{C}^*} \subseteq \mathcal{M}_{\text{Higgs}}(X).$$

*Then  $\dim(Z) \leq (r^2 - 1)(g - 1)$ , with equality only for  $Z = \iota(\mathcal{M}_{r,\mathcal{O}_X}^s)$ .*

*Proof.* The  $\mathbb{C}^*$ -equivariance of the Hitchin map  $H$  in (2.4) implies

$$\mathcal{M}_{\text{Higgs}}(X)^{\mathbb{C}^*} \subseteq H^{-1}(0),$$

because 0 is the only fixed point in the Hitchin space. We recall that  $H^{-1}(0)$  is called the *nilpotent cone*. The irreducible components of  $H^{-1}(0)$  are parameterized by the conjugacy classes of the nilpotent elements in the Lie algebra  $\mathfrak{sl}(r, \mathbb{C})$ , and each irreducible component of  $H^{-1}(0)$  is of dimension  $(r^2 - 1)(g - 1)$  [La].

Thus  $\dim(Z) \leq (r^2 - 1)(g - 1)$ , and if equality holds, then  $Z$  is an irreducible component of the nilpotent cone  $H^{-1}(0)$ . A result due to Simpson [Si3, Lemma 11.9] implies that the only irreducible component of  $H^{-1}(0)$  contained in the fixed point locus (2.7) is the image  $\iota(\mathcal{M}_{r,\mathcal{O}_X}^s)$  of the embedding (2.1).  $\square$

**Corollary 2.5.** *The isomorphism class of the complex analytic space  $\mathcal{M}_{\text{Higgs}}(X)$  determines uniquely the isomorphism class of the Riemann surface  $X$ .*

In other words, if  $\mathcal{M}_{\text{Higgs}}(X)$  is biholomorphic to  $\mathcal{M}_{\text{Higgs}}(Y)$  for another compact connected Riemann surface  $Y$  of the same genus  $g$ , then  $Y \cong X$ .

*Proof.* Let  $Z \subset \mathcal{M}_{\text{Higgs}}(X)$  be a closed analytic subset with the following three properties:

- $Z$  is irreducible and has complex dimension  $(r^2 - 1)(g - 1)$ .
- The smooth locus  $Z^{\text{sm}} \subseteq Z$  lies in the smooth locus  $\mathcal{M}_{\text{Higgs}}(X)^{\text{sm}} \subset \mathcal{M}_{\text{Higgs}}(X)$ .
- The restriction of the holomorphic tangent bundle  $T\mathcal{M}_{\text{Higgs}}(X)^{\text{sm}}$  to the subspace  $Z^{\text{sm}} \subset \mathcal{M}_{\text{Higgs}}(X)^{\text{sm}}$  has no nonzero holomorphic section.

By Corollary 2.3, the image  $\iota(\mathcal{M}_{r,\mathcal{O}_X}^s)$  of the embedding  $\iota$  in (2.1) has these properties.

The action (2.5) of  $\mathbb{C}^*$  on  $\mathcal{M}_{\text{Higgs}}(X)$  defines a holomorphic vector field

$$\mathcal{M}_{\text{Higgs}}(X)^{\text{sm}} \longrightarrow T\mathcal{M}_{\text{Higgs}}(X)^{\text{sm}}.$$

We have assumed that any such holomorphic vector field on  $\mathcal{M}_{\text{Higgs}}(X)^{\text{sm}}$  vanishes on  $Z^{\text{sm}}$ . It follows that the stabilizer of each point in  $Z^{\text{sm}} \subset \mathcal{M}_{\text{Higgs}}(X)$  has nontrivial tangent space at  $1 \in \mathbb{C}^*$ , and hence has to be the whole group  $\mathbb{C}^*$ .

This shows that the fixed point locus  $\mathcal{M}_{\text{Higgs}}(X)^{\mathbb{C}^*} \subseteq \mathcal{M}_{\text{Higgs}}(X)$  contains  $Z^{\text{sm}}$ , and hence also contains its closure  $Z$  in  $\mathcal{M}_{\text{Higgs}}(X)$ . Due to Proposition 2.4, this can only happen for  $Z = \iota(\mathcal{M}_{r, \mathcal{O}_X})$ ; in particular,  $Z \cong \mathcal{M}_{r, \mathcal{O}_X}$ .

We have just shown that the isomorphism class of  $\mathcal{M}_{\text{Higgs}}(X)$  determines the isomorphism class of  $\mathcal{M}_{r, \mathcal{O}_X}$ . The latter determines the isomorphism class of  $X$  due to a theorem of Kouvidakis and Pantev [KP, page 229, Theorem E].  $\square$

**Remark 2.6.** In [BG], an analogous Torelli theorem is proved for Higgs bundles  $(E, \theta)$  such that the rank and the degree of the underlying vector bundle  $E$  are coprime.

### 3. $\lambda$ -CONNECTIONS

In this section, we consider vector bundles with connections, and more generally with  $\lambda$ -connections in the sense of [Si2, p. 87] and [Si1, p. 4]. We denote by

$$\mathcal{M}_{\text{Hod}}(X)$$

the moduli space of  $\lambda$ -connections over  $X$  for the group  $\text{SL}(r, \mathbb{C})$ . Recall that such a  $\lambda$ -connection consists of a number  $\lambda \in \mathbb{C}$ , a holomorphic vector bundle  $E$  over  $X$  of rank  $r$  together with an isomorphism  $\bigwedge^r E \cong \mathcal{O}_X$ , and a  $\mathbb{C}$ -linear homomorphism of sheaves

$$\nabla : E \longrightarrow E \otimes K_X.$$

This operator  $\nabla$  is required to be compatible with the de Rham differential

$$d : \mathcal{O}_X \longrightarrow K_X$$

up to the factor  $\lambda$ , in the sense that it satisfies the following two conditions:

- If  $f$  is a locally defined holomorphic function on  $\mathcal{O}_X$  and  $s$  is a locally defined holomorphic section of  $E$ , then

$$\nabla(fs) = f \cdot \nabla(s) + \lambda \cdot s \otimes df.$$

- The operator  $\bigwedge^r E \longrightarrow (\bigwedge^r E) \otimes K_X$  induced by  $\nabla$  coincides with  $\lambda \cdot d$ .

The moduli space  $\mathcal{M}_{\text{Hod}}(X)$  is a complex algebraic variety of dimension  $1 + 2(r^2 - 1)(g - 1)$ . It comes with a surjective algebraic morphism

$$(3.1) \quad \text{pr}_\lambda : \mathcal{M}_{\text{Hod}}(X) \longrightarrow \mathbb{C}$$

defined by  $(\lambda, E, \nabla) \longmapsto \lambda$ .

A  $\lambda$ -connection with  $\lambda = 0$  is a Higgs bundle, so

$$\mathcal{M}_{\text{Higgs}}(X) = \text{pr}_\lambda^{-1}(0) \subset \mathcal{M}_{\text{Hod}}(X)$$

is the moduli space of Higgs bundles considered in the previous section. In particular, the embedding (2.1) of  $\mathcal{M}_{r, \mathcal{O}_X}$  into  $\mathcal{M}_{\text{Higgs}}(X)$  also defines an embedding into  $\mathcal{M}_{\text{Hod}}(X)$ .

Slightly abusing notation, we denote this embedding again by

$$(3.2) \quad \iota : \mathcal{M}_{r, \mathcal{O}_X} \hookrightarrow \mathcal{M}_{\text{Hod}}(X).$$

It maps the stable locus  $\mathcal{M}_{r, \mathcal{O}_X}^s \subset \mathcal{M}_{r, \mathcal{O}_X}$  into the smooth locus  $\mathcal{M}_{\text{Hod}}(X)^{\text{sm}} \subset \mathcal{M}_{\text{Hod}}(X)$ .

We let  $\mathbb{C}^*$  act on  $\mathcal{M}_{\text{Hod}}(X)$  as

$$(3.3) \quad t \cdot (\lambda, E, \nabla) = (t \cdot \lambda, E, t \cdot \nabla).$$

This extends the  $\mathbb{C}^*$  action on  $\mathcal{M}_{\text{Higgs}}(X)$  introduced above in formula (2.5).

**Proposition 3.1.** *Let  $Z$  be an irreducible component of the fixed point locus*

$$\mathcal{M}_{\text{Hod}}(X)^{\mathbb{C}^*} \subseteq \mathcal{M}_{\text{Hod}}(X).$$

*Then  $\dim(Z) \leq (r^2 - 1)(g - 1)$ , with equality only for  $Z = \iota(\mathcal{M}_{r, \mathcal{O}_X})$ .*

*Proof.* A point  $(\lambda, E, \nabla) \in \mathcal{M}_{\text{Hod}}(X)$  can only be fixed by  $\mathbb{C}^*$  if  $\lambda = 0$ . Hence  $Z$  is automatically contained in  $\mathcal{M}_{\text{Higgs}}(X)$ . Now the claim follows from Proposition 2.4  $\square$

A  $\lambda$ -connection with  $\lambda = 1$  is a (holomorphic) connection in the usual sense, so

$$(3.4) \quad \mathcal{M}_{\text{conn}}(X) := \text{pr}_\lambda^{-1}(1) \subset \mathcal{M}_{\text{Hod}}(X)$$

is the moduli space of  $\text{SL}(r, \mathbb{C})$  connections  $(E, \nabla)$  over  $X$ . We denote by

$$\mathcal{M}_{\text{conn}}^s(X) \subset \mathcal{M}_{\text{conn}}(X) \quad \text{and} \quad \mathcal{M}_{\text{Hod}}^s(X) \subset \mathcal{M}_{\text{Hod}}(X)$$

the open subvarieties where the underlying vector bundle  $E$  is stable.

**Proposition 3.2.** *The forgetful map*

$$(3.5) \quad \text{pr}_E : \mathcal{M}_{\text{conn}}^s(X) \longrightarrow \mathcal{M}_{r, \mathcal{O}_X}^s$$

*defined by  $(E, \nabla) \mapsto E$  admits no holomorphic section.*

*Proof.* This map  $\text{pr}_E$  is surjective, because a criterion due to Atiyah and Weil states that every stable vector bundle  $E$  on  $X$  admits a holomorphic connection. In fact  $E$  admits a unique unitary holomorphic connection according to the Narasimhan-Seshadri theorem [NS]; this defines a canonical  $C^\infty$  section

$$(3.6) \quad \mathcal{M}_{r, \mathcal{O}_X}^s \longrightarrow \mathcal{M}_{\text{conn}}^s(X)$$

of the map  $\text{pr}_E$  in question. Because any two holomorphic  $\text{SL}(r, \mathbb{C})$ -connections on  $E$  differ by a Higgs field  $\theta : E \longrightarrow E \otimes K_X$  with  $\text{trace}(\theta) = 0$ , the map  $\text{pr}_E$  in (3.5) is a holomorphic torsor under the holomorphic cotangent bundle  $T^* \mathcal{M}_{r, \mathcal{O}_X}^s \longrightarrow \mathcal{M}_{r, \mathcal{O}_X}^s$ .

Given a complex manifold  $\mathcal{M}$ , we denote by  $T_{\mathbb{R}} \mathcal{M}$  the tangent bundle of the underlying real manifold  $\mathcal{M}_{\mathbb{R}}$ , and by

$$J_{\mathcal{M}} : T_{\mathbb{R}} \mathcal{M} \longrightarrow T_{\mathbb{R}} \mathcal{M}$$

the almost complex structure of  $\mathcal{M}$ . Let

$$(3.7) \quad \pi : \mathcal{X} \longrightarrow \mathcal{M}$$

be a holomorphic torsor under a holomorphic vector bundle  $\mathcal{V} \longrightarrow \mathcal{M}$ . To each  $C^\infty$  section  $s : \mathcal{M} \longrightarrow \mathcal{X}$  of  $\pi$ , we can associate a  $(0, 1)$ -form

$$\bar{\partial}s \in C^\infty(\mathcal{M}, \Omega^{0,1}\mathcal{M} \otimes \mathcal{V})$$

as follows. The vector bundle homomorphism

$$\tilde{ds} := ds + J_{\mathcal{X}} \circ ds \circ J_{\mathcal{M}} : T_{\mathbb{R}}\mathcal{M} \longrightarrow s^*T_{\mathbb{R}}\mathcal{X}$$

satisfies

$$(3.8) \quad J_{\mathcal{X}} \circ \tilde{ds} + \tilde{ds} \circ J_{\mathcal{M}} = J_{\mathcal{X}} \circ ds - ds \circ J_{\mathcal{M}} - J_{\mathcal{X}} \circ ds + ds \circ J_{\mathcal{M}} = 0$$

and, since  $\pi$  is holomorphic, also

$$(3.9) \quad d\pi \circ \tilde{ds} = d\pi \circ ds + J_{\mathcal{M}} \circ d\pi \circ ds \circ J_{\mathcal{M}} = \text{id} - \text{id} = 0.$$

The equation (3.9) means that  $\tilde{ds}$  maps into the subbundle of vertical tangent vectors in  $s^*T_{\mathbb{R}}\mathcal{X}$ , which is canonically isomorphic to  $\mathcal{V}_{\mathbb{R}}$ . Thus we can consider  $\tilde{ds}$  as a real 1-form

$$\tilde{ds} \in C^\infty(\mathcal{M}, T_{\mathbb{R}}^*\mathcal{M} \otimes \mathcal{V}_{\mathbb{R}}).$$

Its complexification  $(\tilde{ds})_{\mathbb{C}}$  is of type  $(0, 1)$  according to the equation (3.8). We put

$$\bar{\partial}s := \frac{1}{2}(\tilde{ds})_{\mathbb{C}} \in C^\infty(\mathcal{M}, \Omega^{0,1}\mathcal{M} \otimes \mathcal{V}).$$

Since  $\mathcal{V}$  acts on  $\pi : \mathcal{X} \longrightarrow \mathcal{M}$ , each section  $v \in C^\infty(\mathcal{M}, \mathcal{V})$  acts on the sections of  $\pi$ ; we denote this action by  $s \longmapsto v + s$ . The above construction implies

$$\bar{\partial}(v + s) = \bar{\partial}v + \bar{\partial}s$$

and that  $\bar{\partial}s$  vanishes if and only if  $s$  is holomorphic. Since holomorphic sections of  $\pi$  exist locally, it follows that  $\bar{\partial}s$  is always  $\bar{\partial}$ -closed, that the Dolbeault cohomology class

$$[\pi] := [\bar{\partial}s] \in H_{\bar{\partial}}^{0,1}(\mathcal{M}, \mathcal{V}) \cong H^1(\mathcal{M}, \mathcal{V})$$

does not depend on the choice of the  $C^\infty$  section  $s$ , and that this class vanishes if and only if the torsor  $\pi$  in (3.7) admits a holomorphic section.

We now take  $\pi$  to be the above torsor  $\text{pr}_E$  under the cotangent bundle  $T^*\mathcal{M}_{r, \mathcal{O}_X}^s$ , and we take  $s$  to be the above  $C^\infty$  section (3.6). For this case, the class

$$(3.10) \quad [\bar{\partial}s] \in H^1(\mathcal{M}_{r, \mathcal{O}_X}^s, T^*\mathcal{M}_{r, \mathcal{O}_X}^s)$$

has been computed in [BR, page 308, Theorem 2.11]; the result is that it is a nonzero multiple of  $c_1(\Theta)$ , where  $\Theta$  is the ample generator of  $\text{Pic}(\mathcal{M}_{r, \mathcal{O}_X}^s)$ . In particular, the cohomology class (3.10) of the torsor  $\text{pr}_E$  in question is nonzero.  $\square$

The forgetful map  $\text{pr}_E$  in the above Proposition 3.2 extends canonically from  $\mathcal{M}_{\text{conn}}^s(X)$  to  $\mathcal{M}_{\text{Hod}}^s(X)$ . Slightly abusing notation, we denote this extended map again by

$$\text{pr}_E : \mathcal{M}_{\text{Hod}}^s(X) \longrightarrow \mathcal{M}_{r, \mathcal{O}_X}^s;$$

it is defined by  $(\lambda, E, \nabla) \longmapsto E$ , and also extends the map  $\text{pr}_E$  in (2.2).



**Corollary 3.3.** *The only holomorphic map*

$$s : \mathcal{M}_{r, \mathcal{O}_X}^s \longrightarrow \mathcal{M}_{\text{Hod}}^s(X)$$

*with  $\text{pr}_E \circ s = \text{id}$  is the restriction*

$$\iota : \mathcal{M}_{r, \mathcal{O}_X}^s \hookrightarrow \mathcal{M}_{\text{Hod}}^s(X)$$

*of the embedding  $\iota$  defined above in (3.2).*

*Proof.* The composition

$$\mathcal{M}_{r, \mathcal{O}_X}^s \xrightarrow{s} \mathcal{M}_{\text{Hod}}^s(X) \xrightarrow{\text{pr}_\lambda} \mathbb{C}$$

with the map  $\text{pr}_\lambda$  in (3.1) is a holomorphic function on  $\mathcal{M}_{r, \mathcal{O}_X}^s$ , and hence constant. Up to the  $\mathbb{C}^*$  action in (3.3), we may assume that this constant is 0 or 1.

If this constant were 1, then  $s$  would factor through  $\text{pr}_\lambda^{-1}(1) = \mathcal{M}_{\text{conn}}^s(X)$ , which would contradict Proposition 3.2.

Hence this constant is 0, and  $s$  factors through  $\text{pr}_\lambda^{-1}(0) = \mathcal{M}_{\text{Higgs}}^s(X)$ . Thus  $s$  corresponds, under the isomorphism (2.3), to a holomorphic global section of the vector bundle  $T^*\mathcal{M}_{r, \mathcal{O}_X}^s$ . But any such section vanishes due to Lemma 2.1; this means that  $s$  is indeed the restriction of the canonical embedding  $\iota$ .  $\square$

**Corollary 3.4.** *The restriction of the holomorphic tangent bundle*

$$T\mathcal{M}_{\text{Hod}}(X)^{\text{sm}} \longrightarrow \mathcal{M}_{\text{Hod}}(X)^{\text{sm}}$$

*to  $\iota(\mathcal{M}_{r, \mathcal{O}_X}^s) \subset \mathcal{M}_{\text{Hod}}(X)^{\text{sm}}$  does not admit any nonzero holomorphic section.*

*Proof.* We denote the holomorphic normal bundle of the restricted embedding

$$\iota : \mathcal{M}_{r, \mathcal{O}_X}^s \hookrightarrow \mathcal{M}_{\text{Hod}}(X)^{\text{sm}}$$

by  $\mathcal{N}$ . Due to Lemma 2.2, it suffices to show that this vector bundle  $\mathcal{N}$  over  $\mathcal{M}_{r, \mathcal{O}_X}^s$  has no nonzero holomorphic sections. One has a canonical isomorphism

$$\mathcal{M}_{\text{Hod}}^s(X) \xrightarrow{\sim} \mathcal{N}$$

of varieties over  $\mathcal{M}_{r, \mathcal{O}_X}^s$ , defined by sending  $(\lambda, E, \nabla)$  to the derivative at  $t = 0$  of the map

$$\mathbb{C} \longrightarrow \mathcal{M}_{\text{Hod}}(X), \quad t \longmapsto (t \cdot \lambda, E, t \cdot \nabla).$$

Using this isomorphism, the claim follows from Corollary 3.3.  $\square$

**Corollary 3.5.** *The isomorphism class of the complex analytic space  $\mathcal{M}_{\text{Hod}}(X)$  determines uniquely the isomorphism class of the Riemann surface  $X$ .*

In other words, if  $\mathcal{M}_{\text{Hod}}(X)$  is biholomorphic to  $\mathcal{M}_{\text{Hod}}(Y)$  for another compact connected Riemann surface  $Y$  of the same genus  $g$ , then  $Y \cong X$ .

*Proof.* The idea is again that the property in Corollary 3.4 uniquely characterizes the subvariety  $\iota(\mathcal{M}_{r, \mathcal{O}_X}^s) \subset \mathcal{M}_{\text{Hod}}(X)$ , due to Proposition 3.1. The details of this argument are exactly the same as for  $\mathcal{M}_{\text{Higgs}}(X)$  in Corollary 2.5.  $\square$

## 4. THE DELIGNE-HITCHIN MODULI SPACE

We recall Deligne's construction [De] of the Deligne–Hitchin moduli space  $\mathcal{M}_{\text{DH}}(X)$ , as described in [Si1, p. 7]. Our target group will always be  $\text{SL}(r, \mathbb{C})$ .

Let  $X_{\mathbb{R}}$  be the  $C^\infty$  real surface underlying  $X$ , and let

$$\mathcal{M}_{\text{rep}}(X_{\mathbb{R}}) := \text{Hom}(\pi_1(X_{\mathbb{R}}), \text{SL}(r, \mathbb{C})) / \text{SL}(r, \mathbb{C})$$

denote the moduli space of representations  $\rho : \pi_1(X_{\mathbb{R}}) \longrightarrow \text{SL}(r, \mathbb{C})$ . The Riemann–Hilbert correspondence defines a biholomorphic isomorphism

$$(4.1) \quad \mathcal{M}_{\text{rep}}(X_{\mathbb{R}}) \xrightarrow{\sim} \mathcal{M}_{\text{conn}}(X).$$

It sends each representation  $\rho : \pi_1(X_{\mathbb{R}}) \longrightarrow \text{SL}(r, \mathbb{C})$  to the associated holomorphic  $\text{SL}(r, \mathbb{C})$ -bundle  $E_\rho^X$  over  $X$ , endowed with the induced connection  $\nabla_\rho^X$ . The inverse of (4.1) sends each connection to its monodromy representation, which makes sense because any holomorphic connection on a Riemann surface is automatically flat.

Given  $\lambda \in \mathbb{C}^*$ , we can similarly associate to a representation  $\rho : \pi_1(X_{\mathbb{R}}) \longrightarrow \text{SL}(r, \mathbb{C})$  the  $\lambda$ -connection  $(E_\rho^X, \lambda \cdot \nabla_\rho^X)$ . This defines a holomorphic open embedding

$$\mathbb{C}^* \times \mathcal{M}_{\text{rep}}(X_{\mathbb{R}}) \longrightarrow \mathcal{M}_{\text{Hod}}(X)$$

onto the open locus  $\text{pr}_\lambda^{-1}(\mathbb{C}^*) \subset \mathcal{M}_{\text{Hod}}(X)$  of all  $\lambda$ -connections  $(\lambda, E, \nabla)$  with  $\lambda \neq 0$ .

Let  $J_X$  denote the almost complex structure of the Riemann surface  $X$ . Then  $-J_X$  is also an almost complex structure on  $X_{\mathbb{R}}$ ; we denote the resulting Riemann surface by  $\overline{X}$ . Thus we can also consider the moduli space  $\mathcal{M}_{\text{Hod}}(\overline{X})$  of  $\lambda$ -connections on  $\overline{X}$ , etc.

Now one defines the Deligne–Hitchin moduli space

$$\mathcal{M}_{\text{DH}}(X) := \mathcal{M}_{\text{Hod}}(X) \cup \mathcal{M}_{\text{Hod}}(\overline{X})$$

by glueing  $\mathcal{M}_{\text{Hod}}(\overline{X})$  to  $\mathcal{M}_{\text{Hod}}(X)$ , along the image of  $\mathbb{C}^* \times \mathcal{M}_{\text{rep}}(X_{\mathbb{R}})$ . More precisely, one identifies, for each  $\lambda \in \mathbb{C}^*$  and each representation  $\rho \in \mathcal{M}_{\text{rep}}(X_{\mathbb{R}})$ , the two points

$$(\lambda, E_\rho^X, \lambda \cdot \nabla_\rho^X) \in \mathcal{M}_{\text{Hod}}(X) \quad \text{and} \quad (\lambda^{-1}, E_\rho^{\overline{X}}, \lambda^{-1} \cdot \nabla_\rho^{\overline{X}}) \in \mathcal{M}_{\text{Hod}}(\overline{X}).$$

This defines a complex analytic space  $\mathcal{M}_{\text{DH}}(X)$  of dimension  $2(r^2 - 1)(g - 1) + 1$ , which has no natural algebraic structure since the Riemann–Hilbert correspondence (4.1) is not algebraic. The forgetful map  $\text{pr}_\lambda$  in (3.1) extends to a natural holomorphic morphism

$$\mathcal{M}_{\text{DH}}(X) \longrightarrow \mathbb{CP}^1 = \mathbb{C} \cup \{\infty\}$$

whose fiber over  $\lambda \in \mathbb{CP}^1$  is canonically biholomorphic to

- the moduli space  $\mathcal{M}_{\text{Higgs}}(X)$  of  $\text{SL}(r, \mathbb{C})$  Higgs bundles on  $X$  for  $\lambda = 0$ ,
- the moduli space  $\mathcal{M}_{\text{Higgs}}(\overline{X})$  of  $\text{SL}(r, \mathbb{C})$  Higgs bundles on  $\overline{X}$  for  $\lambda = \infty$ ,
- the moduli space  $\mathcal{M}_{\text{rep}}(X_{\mathbb{R}})$  of representations  $\pi_1(X_{\mathbb{R}}) \longrightarrow \text{SL}(r, \mathbb{C})$  for  $\lambda \neq 0, \infty$ .

Now we are in a position to prove the main result.

**Theorem 4.1.** *The isomorphism class of the complex analytic space  $\mathcal{M}_{\text{DH}}(X)$  determines uniquely the isomorphism class of the unordered pair of Riemann surfaces  $\{X, \overline{X}\}$ .*

*Proof.* We denote by  $\mathcal{M}_{\text{DH}}(X)^{\text{sm}} \subset \mathcal{M}_{\text{DH}}(X)$  the smooth locus, and by

$$T\mathcal{M}_{\text{DH}}(X)^{\text{sm}} \longrightarrow \mathcal{M}_{\text{DH}}(X)^{\text{sm}}$$

its holomorphic tangent bundle. Since  $\mathcal{M}_{\text{Hod}}(X)$  is open in  $\mathcal{M}_{\text{DH}}(X)$ , Corollary 3.4 implies that the restriction of  $T\mathcal{M}_{\text{DH}}(X)^{\text{sm}}$  to

$$(4.2) \quad \iota(\mathcal{M}_{r, \mathcal{O}_X}^s) \subset \mathcal{M}_{\text{Hod}}(X)^{\text{sm}} \subset \mathcal{M}_{\text{DH}}(X)^{\text{sm}}$$

does not admit any nonzero holomorphic section. The same argument applies to  $\overline{X}$  instead of  $X$ . Since  $\mathcal{M}_{\text{Hod}}(\overline{X})$  is also open in  $\mathcal{M}_{\text{DH}}(X)$ , the restriction of  $T\mathcal{M}_{\text{DH}}(X)^{\text{sm}}$  to

$$(4.3) \quad \iota(\mathcal{M}_{r, \mathcal{O}_{\overline{X}}}^s) \subset \mathcal{M}_{\text{Hod}}(\overline{X})^{\text{sm}} \subset \mathcal{M}_{\text{DH}}(X)^{\text{sm}}$$

does not admit any nonzero holomorphic section either. Here  $\mathcal{M}_{r, \mathcal{O}_{\overline{X}}}$  is the moduli space of holomorphic  $\text{SL}(r, \mathbb{C})$ -bundles  $E$  on  $\overline{X}$ , and  $\iota$  denotes, as in (2.1) and in (3.2), also the canonical embedding  $E \mapsto (E, 0)$  of  $\mathcal{M}_{r, \mathcal{O}_{\overline{X}}}$  into  $\mathcal{M}_{\text{Higgs}}(\overline{X}) \subset \mathcal{M}_{\text{Hod}}(\overline{X})$ .

The idea of the proof is again that this property characterizes the two subvarieties (4.2) and (4.3) uniquely, as in Corollary 2.5. To see that, we extend the  $\mathbb{C}^*$  action (3.3) from  $\mathcal{M}_{\text{Hod}}(X)$  to  $\mathcal{M}_{\text{DH}}(X)$ , by glueing it with the inverse of the analogous action on  $\mathcal{M}_{\text{Hod}}(\overline{X})$ . Due to Proposition 3.1, each irreducible component of the fixed point locus

$$\mathcal{M}_{\text{DH}}(X)^{\mathbb{C}^*} \subseteq \mathcal{M}_{\text{DH}}(X)$$

has dimension  $\leq (r^2 - 1)(g - 1)$ , with equality only for  $\iota(\mathcal{M}_{r, \mathcal{O}_X})$  and for  $\iota(\mathcal{M}_{r, \mathcal{O}_{\overline{X}}})$ .

These observations imply that  $\mathcal{M}_{\text{DH}}(X)$  determines the unordered pair of moduli spaces  $\{\mathcal{M}_{r, \mathcal{O}_X}, \mathcal{M}_{r, \mathcal{O}_{\overline{X}}}\}$ , and hence also the unordered pair of Riemann surfaces  $\{X, \overline{X}\}$ . The details of this argument are exactly the same as for  $\mathcal{M}_{\text{Higgs}}(X)$  in Corollary 2.5.  $\square$

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